PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Kunio Otsuki et al.

Serial No.: 10/004,250

Filed: October 23, 2001

For:

SPECTRAL ELLIPSOMETER

WITHOUT CHROMATIC

ABERRATIONS

Examiner: not yet assigned

Group Art Unit: 2877

COPY OF PAPERS ORIGINALLY FILED

February 21, 2002

Irvine, California 92614

LETTER

Assistant Commissioner for Patents Customer Service Center, Initial Patent Examination Division Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts dated February 13, 2002, enclosed is the oath for filing in the above-identified case together with our check for \$130.00. Also attached is a copy of Notice to File Missing Parts of Nonprovisional Application and a Request for Corrected Filing Receipt with attachments.

Please charge any additional fees to our-deposit account No. 16-2462. A copy of this letter is provided for that purpose.

Additionally, enclosed is the priority document, Japan 2000-325362, in accordance with 35 USC §119.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Customer Service Center, Initial Patent Examination Division Washington, DC 20231, on February 21, 2002, by Marc Fregoso

Signature

February 21, 2002

Date of Signature

Very truly yours,

PRICE AND GESS

Joseph W. Price, Reg. No. 25,124

2100 S.E. Main St., Ste. 250

Irvine, CA 92614 949/261-8433



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/004,250

10/26/2001

Kunio Otsuki

FUJ2-BP90

PRICE AND GESS 2100 S.E. Main St., Ste. 250 Irvine, CA 92614

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CONFIRMATION NO. 2112 FORMALITIES LETTER OC000000007466254*

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

ervice Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: not yet assigned

Kunio Otsuki et al.

Group Art Unit: 2877

Serial No.: 10/004,250

February 21, 2002

Filed: October 23, 2001

For: SPECTRAL ELLIPSOMETER WITHOUT CHROMATIC

.

ABERRATIONS

Irvine, California 92614

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Washington, D.C. 20231

Attn: Office of Initial Patent Examination's

Customer Service Center

Dear Sir:

The Official Filing Receipt mailed on February 13, 2002, (copy enclosed) for the above-identified patent-application has the filing date listed incorrectly. The correct filing date should read 10/23/2001. A copy of the Express Mail Label No. EL 873069434 US, post-marked October 23, 2001 along with a copy of our postcard receipt indicating the Express Mail Label No EL 873069434 US, dated 10/23/01 are enclosed.

It is requested that a corrected filing receipt be issued for this application.

No fee is required, since this represents an error by the United States Patent and Trademark Office.

If there are any questions with regard to this request, please contact the undersigned attorney at the listed telephone number.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination's, Customer Service Center, Washington, DC 20231, on February 21, 2002, by

Marc Fregoso

Signature

February 21, 2002
Date of Signature

Very truly yours,

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Joseph W. Price Reg. No. 25,124

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Irvine, CA 92614 949/261-8433

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APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS TOT CLAIMS** IND CLAIMS 10/004.250 10/26/2001 2877 740 FUJ2-BP90 5

CONFIRMATION NO. 2112

FILING RECEIPT

OC000000007466253

Date Mailed: 02/13/2002

PRICE AND GESS 2100 S.E. Main St., Ste. Irvine, CA 92614



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kunio Otsuki, Kyoto, JAPAN; Yutaka Saijo, Kyoto, JAPAN,

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-325362 10/25/2000-

If Required, Foreign Filing License Granted 02/12/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

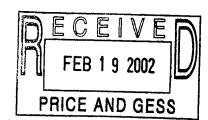
Early Publication Request: No

Title

Spectral ellipsometer without chromatic aberrations

Preliminary Class

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Received in the U.S. Patent Office Kindly date-stamp and return this card with Early Notification of Serial No. (if Applicable)

Date: 10/23/01

Serial No.:

Client: Fujimoto

For: SPECTRAL ELLIPSOMETER WITH...

Dkt. No.: FUJ2-BP90





Enclosed A	re:
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Specification and Claims (PP-12)

Drawings (5 sheets)

_ Formal _____ Informal

__ Info. Disc. Statement __x Check # 2623 For \$740.00

___ Priority Documents

___ PTO 1449 W/References

___ Small Entity Verification

___ Other

NOT EXECUTED

- X_ Declaration, Power of Attorney
- ___ Assignment
- ___ Amendment
- ___ Certificate of Mailing
- ___ Issue Fee Transmittal
- X Transmittal Letter Util. Patent
- ___ Extension of Time
- X Express Mail No. EL 873069434 US

IDS due: 1-23-02

